

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

## **IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**

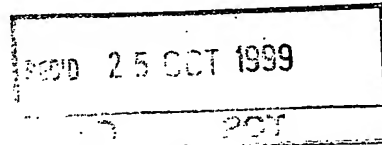
Europäisches  
Patentamt

European Patent  
Office

PCT/EP 99/03093  
Office européen  
des brevets

#3

EP99/06778



**Bescheinigung**

**Certificate**

**Attestation**

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten internationalen Patentanmeldung überein.

The attached documents are exact copies of the international patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet international spécifiée à la page suivante.

**PRIORITY  
DOCUMENT**  
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)

Den Haag, den  
The Hague,  
La Haye, le

18. 9. 10. 99

  
**NATHALIE KUIPER**

Der Präsident des Europäischen Patentamts  
Im Auftrag  
For the President of the European Patent Office  
Le Président de l'Office européen des brevets  
p. o.

Patentanmeldung Nr.  
Patent application no.  
Demande de brevet n°

PCT/EP 99/03093

Blatt 2 der Bescheinigung  
Sheet 2 of the certificate  
Page 2 de l'attestation



Anmeldung Nr.: PCT/EP 99/03093  
Application no.:  
Demande n°:

Anmelder: 1. Nokia Telecommunications Oy - Espoo, Finland  
Applicant(s): 2. KATZ, Marcos - Oulu, Finland  
Demandeur(s): 3. Ylitalo, Juha T - Oulu, Finland

Bezeichnung der Erfindung:  
Title of the invention: A method of directional radio communication  
Titre de l'invention:

Anmeldetag: 01 May 1999 (01.05.99)  
Date of filing:  
Date de dépôt:

In Anspruch genommene Priorität(en)  
Priority(ies) claimed  
Priorité(s) revendiquée(s)

Staat:	Tag:	Aktenzeichen:
State:	Date:	File no.
Pays:	Date:	Numéro de dépôt:

Benennung von Vertragsstaaten : Siehe Formblatt PCT/RO/101 (beigefügt)  
Designation of contracting states : See Form PCT/RO/101 (enclosed)  
Désignation d'états contractants : Voir Formulaire PCT/RO/101 (ci-joint)

Bemerkungen:  
Remarks:  
Remarques:

Box No.V DESIGNATION OF STATES

The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

Regional Patent

- ☒ AP ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, SD Sudan, SZ Swaziland, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT
- ☒ EA Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT
- ☒ EP European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- ☒ OA OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line) ..... GW Guinea-Bissau

National Patent (if other kind of protection or treatment desired, specify on dotted line):

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> AL Albania                               | <input checked="" type="checkbox"/> LS Lesotho                                   |
| <input checked="" type="checkbox"/> AM Armenia                               | <input checked="" type="checkbox"/> LT Lithuania                                 |
| <input checked="" type="checkbox"/> AT Austria                               | <input checked="" type="checkbox"/> LU Luxembourg                                |
| <input checked="" type="checkbox"/> AU Australia                             | <input checked="" type="checkbox"/> LV Latvia                                    |
| <input checked="" type="checkbox"/> AZ Azerbaijan                            | <input checked="" type="checkbox"/> MD Republic of Moldova                       |
| <input checked="" type="checkbox"/> BA Bosnia and Herzegovina                | <input checked="" type="checkbox"/> MG Madagascar                                |
| <input checked="" type="checkbox"/> BB Barbados                              | <input checked="" type="checkbox"/> MK The former Yugoslav Republic of Macedonia |
| <input checked="" type="checkbox"/> BG Bulgaria                              |  |
| <input checked="" type="checkbox"/> BR Brazil                                | <input checked="" type="checkbox"/> MN Mongolia                                  |
| <input checked="" type="checkbox"/> BY Belarus                               | <input checked="" type="checkbox"/> MW Malawi                                    |
| <input checked="" type="checkbox"/> CA Canada                                | <input checked="" type="checkbox"/> MX Mexico                                    |
| <input checked="" type="checkbox"/> CH and LI Switzerland and Liechtenstein  | <input checked="" type="checkbox"/> NO Norway                                    |
| <input checked="" type="checkbox"/> CN China                                 | <input checked="" type="checkbox"/> NZ New Zealand                               |
| <input checked="" type="checkbox"/> CU Cuba                                  | <input checked="" type="checkbox"/> PL Poland                                    |
| <input checked="" type="checkbox"/> CZ Czech Republic                        | <input checked="" type="checkbox"/> PT Portugal                                  |
| <input checked="" type="checkbox"/> DE Germany                               | <input checked="" type="checkbox"/> RO Romania                                   |
| <input checked="" type="checkbox"/> DK Denmark                               | <input checked="" type="checkbox"/> RU Russian Federation                        |
| <input checked="" type="checkbox"/> EE Estonia                               | <input checked="" type="checkbox"/> SD Sudan                                     |
| <input checked="" type="checkbox"/> ES Spain                                 | <input checked="" type="checkbox"/> SE Sweden                                    |
| <input checked="" type="checkbox"/> FI Finland                               | <input checked="" type="checkbox"/> SG Singapore                                 |
| <input checked="" type="checkbox"/> GB United Kingdom                        | <input checked="" type="checkbox"/> SI Slovenia                                  |
| <input checked="" type="checkbox"/> GE Georgia                               | <input checked="" type="checkbox"/> SK Slovakia                                  |
| <input checked="" type="checkbox"/> GH Ghana                                 | <input checked="" type="checkbox"/> SL Sierra Leone                              |
| <input checked="" type="checkbox"/> GM Gambia                                | <input checked="" type="checkbox"/> TJ Tajikistan                                |
| <input checked="" type="checkbox"/> <del>GW Guinea-Bissau</del>              | <input checked="" type="checkbox"/> TM Turkmenistan                              |
| <input checked="" type="checkbox"/> HR Croatia                               | <input checked="" type="checkbox"/> TR Turkey                                    |
| <input checked="" type="checkbox"/> HU Hungary                               | <input checked="" type="checkbox"/> TT Trinidad and Tobago                       |
| <input checked="" type="checkbox"/> ID Indonesia                             | <input checked="" type="checkbox"/> UA Ukraine                                   |
| <input checked="" type="checkbox"/> IL Israel                                | <input checked="" type="checkbox"/> UG Uganda                                    |
| <input checked="" type="checkbox"/> IS Iceland                               | <input checked="" type="checkbox"/> US United States of America                  |
| <input checked="" type="checkbox"/> JP Japan                                 |  |
| <input checked="" type="checkbox"/> KE Kenya                                 | <input checked="" type="checkbox"/> UZ Uzbekistan                                |
| <input checked="" type="checkbox"/> KG Kyrgyzstan                            | <input checked="" type="checkbox"/> VN Viet Nam                                  |
| <input checked="" type="checkbox"/> KP Democratic People's Republic of Korea | <input checked="" type="checkbox"/> YU Yugoslavia                                |
|  | <input checked="" type="checkbox"/> ZW Zimbabwe                                  |
| <input checked="" type="checkbox"/> KR Republic of Korea                     |  |
| <input checked="" type="checkbox"/> KZ Kazakhstan                            |  |
| <input checked="" type="checkbox"/> LC Saint Lucia                           |  |
| <input checked="" type="checkbox"/> LK Sri Lanka                             |  |
| <input checked="" type="checkbox"/> LR Liberia                               |  |

Check-boxes reserved for designating States (for the purposes of a national patent) which have become party to the PCT after issuance of this sheet:

- ☒ ~~GD~~ Grenada ..... ☒ AE United Arab Emirates
- ☒ IN India ..... ☒ ZA South Africa

**Precautionary Designation Statement:** In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)

## A METHOD OF DIRECTIONAL RADIO COMMUNICATION

- 5 This invention relates to a method of directional radio communication and in particular, but not exclusively, to a method of signal processing for use in cellular communication networks using space division multiple access.
- 10 Cellular communication networks based on space division multiple access and the advantages associated therewith are well known. The area covered by a cellular network is divided into a plurality of cell or cell sectors. Each cell is served by a base station which transmits signals to and receives
- 15 signals from mobile stations located in the cell or cell sector associated with the respective base station. In a space division multiple access system, the base transceiver station will not transmit signals intended for a given mobile station throughout the cell or cell sector but will only
- 20 transmit the signal in a beam direction from which a signal from the mobile station is received.

As the beam which is transmitted by the base transceiver station may only be transmitted in a particular direction and

25 accordingly may be relatively narrow, the transmission power is concentrated into that narrow beam. This results in a better signal to noise ratio with both the signals transmitted from the base transceiver station and the signals received by the base transceiver station. Additionally, as a result of

30 the directionality of the base transceiver station, an improvement in the signal to interference ratio of the signal received by the base transceiver station can be achieved. The interference caused by the signal transmitted by the base station to the mobile station to other mobile stations in the

35 same cell or adjacent cells is also reduced. This increases the capacity of the system and/or increases the quality of communication.

SDMA systems can be implemented in analogue and digital

40 cellular networks and may be incorporated in the various existing standards such as GSM, DCS 1800, TACS, AMPS and NMT.

5 SDMA systems can also be used in conjunction with other  
existing multiple access techniques based, for example, on  
time division multiple access (TDMA), code division multiple  
access (CDMA), such as that described by the US IS-95 CDMA  
10 standard and the proposed third generation standard, and  
frequency division multiple access (FDMA) techniques.

As is known, a signal from a mobile station will generally  
follow several paths to the BTS. Those plurality of paths are  
generally referred to as multipaths. A given signal which is  
15 transmitted by the mobile station may then be received by the  
base transceiver station from more than one direction due to  
these multipath effects.

Signals transmitted from a mobile station to a base  
20 transceiver station are known as "uplink" signals and signals  
transmitted from a base transceiver station to a mobile  
station are known as "downlink" signals. The uplink  
communication stream received by the base transceiver station  
from the mobile station comprises a series of communication  
25 bursts received in successive time slots. Each received burst  
of the uplink communication stream includes a reference signal  
and a data signal and these portions in turn each comprise a  
succession of signal components referred to hereinafter as  
bits. Likewise, the downlink communication stream transmitted  
30 from the base transceiver station to the mobile station  
comprises a series of communication bursts transmitted in  
successive time slots. Each respective burst of the downlink  
communication stream includes a reference signal and a data  
signal, each of which in turn comprising a succession of  
35 signal components referred to hereinafter as bits. The  
reference signals of the uplink and downlink communication  
streams are, in this example, referred to as pilot signals to  
be consistent with CDMA terminology.

40 It has been proposed that Pilot signals transmitted from the  
mobile station MS be used by the receiving base station to

5 monitor the spatial properties of the receive communication  
stream in order to determine optimum transmission parameters.  
Conventional adaptive base transceiver stations process each  
communication burst received in the uplink direction to  
determine parameters for the corresponding burst in the  
10 downlink direction. The direction of transmission to be used  
in the downlink communication for a given time slot is  
determined based on direction of arrival information estimated  
from the uplink communication of the corresponding time slot,  
the uplink and downlink signals being at different  
15 frequencies.

Circuitry within the base transceiver station determines, for  
each receive time slot, an angular power profile of the uplink  
signal impinging on the base station antenna array from the  
20 mobile station and indicates transmission parameters to be  
used in each transmission time slot. In practice, the  
determined angular power profile is supplied to signal  
processing and decision circuitry which executes a beam  
selection algorithm to determine the downlink transmission  
25 parameters. Thus, the direction of transmission for a given  
communication burst, including for the pilot and data signals  
within that burst, is determined from estimations of  
parameters of pilot symbols received from the mobile station  
during the corresponding uplink communication burst and are  
30 kept fixed for at least the duration of that burst, i.e. for  
the entire transmission time slot.

However, since the envelope of the signal received at the base  
transceiver station will depend on the combination sum of a  
35 large number of signals having phases related with their  
respective carrier frequencies, it can be said that the short  
term responses (e.g. instantaneous behaviour) of the uplink  
and downlink channels will be uncorrelated. That is, the  
uplink and downlink channels are reciprocal only in the long  
40 term. One result is that the channel and directions of signal  
arrival (DoA) estimated from the uplink do not correspond with



5 those required to communicate properly with the mobile station  
in the downlink direction. This problem worsens in  
environments characterized by larger angular spreads (e.g.,  
micro- and pico-cells) and also when the angular resolution of  
base station is increased (e.g., the number of antenna  
10 elements is large).

The performance of downlink is measured not only in terms of  
the quality of signal registered at the receiving mobile  
station but also taking into consideration the operative cost  
15 required to achieve that level of quality. The base station  
aims to achieve at the mobile station a signal quality which  
is sufficient to produce an acceptable and/or pre-determined  
quality of service with minimum expenditure of resources.  
Spectral efficiency has direct impact on system capacity and  
20 link performance. Improving link performance will generally  
require an increase in transmission power or increased use of  
diversity, which tend to increase the level of generated  
interference. The nature of interference is different from  
widely angular (e.g., omnidirectional/sector antennas) to  
25 narrowly angular (e.g., adaptive antennas). In the case of  
widely angular antennas, since the energy is evenly  
distributed over the whole cell/sector, the interference is  
characterized by a low angular density. Whereas in the case  
of angularly narrow antennas, the interfering energy is  
30 concentrated in the narrow beams used. In multi-rate systems  
proposed in wide band-CDMA standards where high-bit rate users  
transmit with correspondingly high power levels, the  
conventional use of adaptive antennas described hereinbefore  
will produce highly coloured spatial interference.

35 Embodiments of the present invention seek to provide an  
improved method for directional radio communication.

According to an aspect of the present invention there is  
40 provided a method of directional radio communication in a  
wireless communications network between a first station and a

5 second station, said method comprising the steps of  
transmitting a plurality of communication bursts from said  
first station to said second station, each of said bursts  
being substantially continuous and comprising a reference  
signal having a plurality of reference signal components and  
10 a data signal having a plurality of data signal components  
wherein respective signal components of said reference and/or  
data signals are transmitted in substantially different  
directions.

15 Preferred methods improve link quality because they lead to  
improvements in spatial correlations between the uplink and  
downlink channels. Preferred methods also provide fast  
angular diversity and the efficient whitening of the generated  
co-channel interference. Methods embodying the invention have  
20 particular advantages in radio environments characterised by  
large angular spreads and/or where base transceiver stations  
have relatively high angular resolutions.

A number of pilot and/or data signal transmission schemes may  
25 be employed in various embodiments. In one embodiment, a  
number of pilot reference signal components are transmitted in  
different directions at different times, consecutive reference  
signal components being transmitted in different directions  
and a number of said data signal components are transmitted in  
30 different directions at different times, the order of  
directional transmission used corresponding to that used  
during transmission of said reference signal components.

In another embodiment, a number of pilot signal components are  
35 transmitted in different directions at substantially the same  
time and a number of said data signal components are  
transmitted in different directions at different times. This  
allows the data signal components to be transmitted without  
regard to the order of directional transmission used.

40

In another embodiment, a different spreading code is used for

5 transmission in each direction.

In another embodiment, the transmission of pilot signals is distributed throughout the communication burst with sets of data signal components disposed therebetween.

10

According to another aspect of the present invention there is provided a transceiver station for directional radio communication in a wireless communications network between a first station and a second station, said transceiver station  
15 comprising means for transmitting a plurality of communication bursts from said first station to said second station, each of said bursts being substantially continuous and comprising a reference signal having a plurality of reference signal components and a data signal having a plurality of data signal  
20 components, said means being operable to transmit respective signal components of said reference signals in substantially different directions, the data signal components being transmitted in said substantially different locations.

25 For a better understanding of the present invention and as to how the same may be carried into effect, reference will be made by way of example only, to the accompanying drawings in which:

30 Figure 1 is a schematic view of a base transceiver station and its associated cell sectors;

Figure 2 is a schematic view of the base transceiver station of Figure 1;

35

Figure 3 is a schematic illustration of a first embodiment of the method of directional radio communication;

Figure 4 is an example of direction of arrival data;

40

Figure 5 is a more detailed representation of a downlink

5 communication burst used in a second embodiment;

Figure 6 is a representation of a downlink communication burst used in a third embodiment of the method of directional radio communication;

10

Figure 7 is a representation of a downlink communication burst used in a fourth embodiment of the method of directional radio communication; and

15

Figure 8 is a representation of a downlink communication burst used in a fifth embodiment of the method directional radio communication.

20

Reference will first be made to Figure 1 which shows three cell sectors 2 of a cellular mobile telephone network. The three cell sectors 2 are served by respective base transceiver stations (BTS) 4. Three separate base transceiver stations 4 are provided at the same location. Each BTS 4 has a transceiver which transmits and receives signals to and from a respective one of the three cell sectors 2. Thus, one dedicated base transceiver station is provided for each cell sector 2. Each BTS 4 is thus able to communicate with mobile stations (MS) such as mobile telephones which are located in respective cell sectors 2.

30

Data is transmitted between the BTS 4 and the MS in communication bursts. The communication bursts include a reference signal which is a known sequence of data. The purpose of the reference signal is generally to allow information which assists operation of the system to be obtained. This type of information includes, for example, direction of arrival information, signal strength information and delay information. In current GSM systems the reference signal is referred to as the training sequence, whereas in CDMA systems the reference signal corresponds to the pilot signal.

35

40

5 Preferred embodiments will be described in the context of a code division multiple access system which uses an antenna array at the base station. Each communication burst is transmitted in a given communication channel defined by the selected direction and the applied spreading code.

10

Figure 2 shows a schematic view of a base transceiver station 4 suitable for code/space division multiple access systems. It should be appreciated that the various blocks illustrated in Figure 2 do not necessarily correspond to separate elements of an actual base transceiver station for performing the method of the present invention. The various blocks illustrated in Figure 2 correspond to various functions carried out by the base transceiver station. The base transceiver station 4 has an antenna array 6. The base station 4 only serves one of the three cell sectors 2 shown in Figure 1. Another two base stations 4 are provided to serve the other two cell sectors 2. In this example, the antenna array 6 has eight antenna elements. The elements are arranged to have a spacing of about a half wavelength between each antenna element and are arranged in a horizontal row in a straight line. Each antenna element is arranged to transmit and receive signals and can have any suitable construction. Each antenna element may be a dipole antenna, a patch antenna or any other suitable antenna. The eight antenna elements together define a phased antenna array 6.

As is known, each antenna element of the phased array antenna 6 is supplied with the same signal to be transmitted to a mobile station MS. However, the phases of the signals supplied to the respective antenna elements are shifted with respect to each other. The differences in the phase relationship between the signals supplied to the respective antenna elements gives rise to a directional radiation pattern. The antenna array 6 can be controlled to provide a beam  $b_1$ - $b_8$  in one or more of the eight directions illustrated. For example, the antenna array 6 could be controlled to

5 transmit a signal to a MS only in the direction of beam  $b_5$  or only in the direction of beam  $b_6$  or in more than one beam direction at the same time. For example, a signal may be transmitted in the two directions defined by beam  $b_5$  and beam  $b_6$ .

10

Figure 2 is only a schematic representation of the eight possible beam directions which can be achieved with the antenna array 6. In practice, however, there will in fact be an overlap between adjacent beams. In some embodiments of the present invention, the width of the beams can be varied as well as the number of beams which are provided to cover a given area.

20 The control and demodulation circuitry 8 includes beam forming circuitry such as Butler matrix circuitry, amplifier stages, analogue-to-digital converter arrays and digital to analogue converter arrays. In the receive direction, the beam forming circuitry detects the phase difference between each of the signals received by the respective antenna elements and uses this information to determine the or each beam direction from which the signal has been received. Received signals are typically then passed through the amplifier stages to demodulation circuitry where the carrier frequency component is removed. The received analogue signal is converted to a digital signal and is output to the signal processing and decision circuitry 10. In the transmit direction, the relative phase of the signal supplied to each antenna element and thus also the desired beam direction is controlled by the beam forming circuitry. Before being supplied to the antenna elements digital data from the signal processing circuitry are converted to analogue signals and modulated onto the carrier frequency.

40 The signal processing and decision circuitry 10 removes the spreading codes from the received signal. The signal processing and decision circuitry determines the channel

5 impulse response for the received signals from which  
parameters used to define a channel for transmission of  
subsequent signals can be determined. The signal processing  
and decision circuitry 10 also carries out cross-correlation  
and analysis. Cross-correlation is used to generate taps  
10 which are representative of the channel impulse response for  
that correlation and compares received signals and stored  
information. A channel impulse response is generated for each  
channel corresponding to a given communication burst received  
in each of the eight antenna directions  $b_1$ - $b_8$ . A given  
15 communication burst may be received in one or more beam  
directions.

The analysis carried out within the signal processing and  
decision circuitry 10 is for determining and storing the  
20 maximum energy calculated from the channel impulse response.  
The signal processing and decision circuitry 10 also analyses  
the channel impulse responses to ascertain the minimum delay  
with which a given signal is received. The channel with the  
minimum delay may represent the line of sight path between a  
25 mobile station and its base transceiver station.

Decision circuitry of the signal processing and decision  
circuitry 10 compares the determined parameters for each  
channel to select transmission parameters for signals to be  
30 subsequently transmitted. The decision circuitry selects  
transmission parameters such as beam direction and power level  
based on information from the received signals. This  
selection can use simple methods for selection such as  
selecting the beam direction(s) having the maximum energy and  
35 minimum delay in the received signals. Alternatively, more  
complicated methods of selection may be used.

Figure 3 schematically illustrates a bit level processing  
method for use in directional radio communication networks.  
40 As shown in Figure 3, the base transceiver station receives an  
uplink communication stream 30 from a mobile station MS. The

5 uplink communication stream 30 comprises a series of  
communication bursts in  $(i-1)$ th,  $i$ th and  $(i+1)$ th receive time  
slots, respectively. Each communication burst includes a  
pilot signal P and a data signal D, each of which in turn  
10 comprising a plurality of signal bits. The signal processing  
and decision circuitry 10 of the base transceiver station 4  
uses a beam selection algorithm 34 to determine transmission  
directions for a given downlink communication time slot based  
on the pilot signal received in the corresponding uplink  
communication burst and possibly also taking into account  
15 information from previous time slots 36.

For communication in the downlink direction the direction  
selected for transmission is varied within the communication  
burst (i.e. within a given time slot). For example,  
20 respective bits of the pilot signal P and/or data signal D of  
the downlink communication burst are transmitted in different  
directions. This is schematically illustrated for the data  
signal by the directional antenna lobes  $b_1$ ,  $b_2$  and  $b_3$  of Figure  
3. Preferably, the direction of transmission is changed from  
25 bit to bit so that the directions employed will thus repeat  
themselves in a cyclical manner. The total number of  
selectable beam directions may be a predetermined number. In  
Figure 3, three directions are used in some of the time slots.  
The number of directions used may vary from time slot to time  
30 slot.

According to the general scheme of Figure 3 the base  
transceiver station 4 estimates an angular power profile upon  
reception of an uplink communication burst and using this  
35 information determines the directions of transmission to be  
used in the corresponding downlink communication burst. This  
angular power profile is based on the pilot signals and  
includes direction of arrival information, an example of which  
is provided in Figure 4. The power profile illustrated in  
40 Figure 4 shows estimated signal power (above a given threshold  
 $Th$ ) as a function of antenna beam direction measured in



5 azimuthal angle of arrival. According to the angular power  
profile of Figure 4, signals of appreciable strength i.e.  
above the threshold  $T_h$  are received simultaneously in the  
antenna beam directions  $b_1$ ,  $b_2$  and  $b_3$ , with the signal of  
maximum energy being received from direction  $b_3$ . The  
10 predetermined threshold  $T_h$  is used to ensure that only  
directions of arrival having appreciable signal strengths are  
taken into account.

The base transceiver station 4 transmits a pilot signal  $P$   
15 indicating the directions of transmission to be used in the  
subsequent transmission of the data signal  $D$  of that  
communication burst. This allows the mobile station  $MS$  to  
estimate the channel corresponding to each of the directions  
of transmission to be used. In some embodiments, a plurality  
20 of pilot signal components are transmitted simultaneously and  
in others a pre-determined pilot transmission sequence is  
employed. The pilot transmission can be carried out by a  
number of different schemes. Data transmission in the  
downlink direction involves, where ever possible, consecutive  
25 bits of the data signal being transmitted in different  
directions. Where a predetermined pilot transmission sequence  
is employed, benefits from this type of directional hopping  
are maximised if the directional transmission pattern employed  
in the transmission of data corresponds to that defined by the  
30 pilot signal components of the same burst, as will be  
explained in more detail hereinafter.

The mobile station will have prior knowledge that the base  
transceiver station 4 will use varying directions of  
35 transmission in the course of a downlink communication burst.  
In the method embodying the present invention bit level  
downlink processing of the signal to be transmitted takes  
place. When the spatial and temporal granularity of the  
transmitted signal is broken down to the bit level as  
40 described herein, gain is obtained not only in terms of  
diversity in the desired signal (bit level beam-hopping), but

5 also from the interference standpoint. The main advantages of this method are the improvement of the link quality in the downlink direction and the increase of the system capacity. Preferred embodiments provide fast angular diversity and efficiently whiten (randomize) the generated co-channel  
10 interference. The former is advantageous in low mobility environments while the latter alleviates the effects of interference to other users by whitening the structure of the transmitted signal in the spatial and temporal domains.

15 According to a second embodiment illustrated in Figure 5, an angular beam profile such as that in Figure 4 is established by the processing and decision circuitry 10 of the base transceiver station 4 and the beam directions  $b_1$ ,  $b_2$  and  $b_3$  corresponding to the received directions  $b_1$ ,  $b_2$  and  $b_3$  are  
20 determined as the downlink directions of transmission for the  $i$ th time-slot. Preferably, at least a component of pilot signal  $P$  is to be transmitted in each of these directions. In this example, pilot signal components are transmitted three times in the time slot. The pilot signal bits or bit sequence  
25  $P_1$ ,  $P_2$  and  $P_3$  may be the same or different. Preferably they are different. The pilot signal of the down link communication burst comprises a first pilot signal bit  $P_1$  transmitted towards the first direction  $b_1$ , a second pilot signal bit  $P_2$  transmitted towards the second direction  $b_2$  and  
30 a third pilot signal bit  $P_3$  transmitted in the third direction  $b_3$ . The three pilot signal bits  $P_1$ ,  $P_2$  and  $P_3$  are thus transmitted consecutively. The receiving mobile station uses the pilot signal bits of the communication burst to estimate the channel impulse response associated with each transmitted  
35 direction. The data bits  $d_1$ ,  $d_2$  and  $d_3$  of the  $i$ th time slot are then respectively transmitted in the corresponding directions  $b_1$ ,  $b_2$  and  $b_3$ . The predetermined transmission order of the pilot signal bits  $P_1$ ,  $P_2$  and  $P_3$  defines a directional hopping pattern which is replicated during transmission of the  
40 data bits  $d_1$ ,  $d_2$  and  $d_3$  of the same communication burst. Successive data bits are transmitted in different directions.

5 This enables the mobile station MS to process each received data bit using information obtained from the pilot signal received from the same respective direction.

For simplicity and to minimise the use of overhead  
10 information, the number of transmitted pilot signals  $N_p$  can be kept fixed from one communication burst to the next. For example,  $N_p$  may equal 3. If the number of available directions of transmission exceeds the number of pilot signal bits  $N_p$  only the best  $N_p$  directions are selected for downlink  
15 transmission. Alternatively, if the number of available directions for transmission is lower than the number of pilot signal bits  $N_p$ , some directions can be repeated in the transmission. In this case, the downlink transmission direction is varied such that the same direction is not used  
20 for the transmission of consecutive data bits.

In this embodiment, the directions of transmission for the downlink direction are selected based on the energy of the corresponding received signal in the uplink direction.  
25 However, as mentioned in relation to the signal processing and control circuitry 10, any suitable criteria can be used to determine the beam directions for transmission. For example, other embodiments take into account minimisation of the generated interference in certain directions.

30 Figure 5 thus illustrates a second embodiment in which pilot signal bits of a communication burst are transmitted in serial fashion, each pilot signal bit being transmitted at a particular time and in a different direction to the preceding  
35 pilot signal. The data signal bits for that communication burst are subsequently transmitted in corresponding directions and in the same order as the pilot signals. This embodiment is referred to herein as the time orthogonal pilot transmission (TOPT) method. The beams themselves may not be  
40 orthogonal. The width of the beams may be alterable.

5 Figure 6 illustrates a third embodiment which is a modified  
version of the time orthogonal pilot transmission method, in  
which the pilot signals corresponding to each direction are  
distributed throughout the communication burst. In the  
illustrated  $i$ th time slot, the pilot signal  $P$  is transmitted  
10 in three directions to define three pilot signal bits  $P_1$ ,  $P_2$   
and  $P_3$ . These three pilot signal bits are distributed evenly  
throughout the slot and are each followed by a data block  
comprising consecutive data bits. According to Figure 6, a  
first pilot bit  $P_1$  is transmitted in the direction  $b_1$  as is  
15 the subsequent data block comprising data bits  $d_{1N}$ ,  $d_{2N}$ ,  $d_{3N}$  to  
 $d_{NN}$ . The second pilot bit  $P_2$  is transmitted in a second  
direction  $b_2$  and the next data block comprising data bits  $d_{1R}$ ,  
 $d_{2R}$ ,  $d_{3R}$  to  $d_{RR}$  is also transmitted in the direction  $b_2$ .  
Likewise, the third pilot bit  $P_3$  defines the direction of  
20 transmission for a third data block comprising data bits  $d_{1V}$ ,  
 $d_{2V}$ ,  $d_{3V}$  to  $d_{VV}$ . There is three directional hopping within the  
time slot of a single communication burst. Note, however,  
that the embodiment of Figure 6 employs a slower rate of  
directional hopping within a time slot than the embodiment of  
25 Figure 5.

If the directions determined from the uplink communication  
direction are spatially orthogonal to each other, a fourth  
embodiment illustrated in Figure 7 can be used. The  
30 determined directions are considered to be orthogonal if their  
angular separation between the beam maxima is greater than  
about the one half power beam width. Assuming the radio  
environment carries a sufficiently large angular spread, then  
the use of a conventional analogue beam former (e.g. butler  
35 matrix circuitry) achieves orthogonal antenna beam directions  
during both transmission and reception. The embodiment of  
Figure 7 is referred to herein as a space orthogonal pilot  
transmission (SOPT) method. The pilot signal  $P$  transmission  
in the downlink direction involves the simultaneous  
40 transmission of pilot signal bits  $P_n$  towards all of the  
determined directions of transmission  $b_1$ ,  $b_2$  and  $b_3$ . Thus, the

5 transmission of pilot signal bits occurs at the same time and  
beam directions  $b_1$ ,  $b_2$  and  $b_3$  are orthogonal. The subsequent  
data signal transmission D is performed by employing different  
directions for consecutive data bits but in this case the  
10 order of transmission need not necessarily follow a  
predetermined directional transmission pattern, as was  
suggested with the embodiment of Figure 6. Here, the  
selection of directions for transmission of data bits can  
follow any order, provided all of the directions are defined  
by the pilot signal and are orthogonal. In this example, the  
15 selection of direction for data bit transmission is random  
with each direction  $b_1$ ,  $b_2$  and  $b_3$  being used on average an  
equivalent number of times. This is possible because at the  
receiving mobile station each received bit is convolved  
(correlated) with the channel response of the whole channel,  
20 including all of the directions involved. Since these  
directions are orthogonal to the received signal, their effect  
will in principal be eliminated.

In a fifth embodiment illustrated in Figure 8, a fixed number  
25  $N_p$  of pilot signals are transmitted simultaneously towards the  
directions of transmission to be used in the transmission of  
data bits within the same communication burst. The pilot  
signal for each direction has a unique code which is  
orthogonal to other codes being used in the pilot signal  
30 transmission. Hence, this embodiment is referred to herein as  
code orthogonal pilot transmission (COPT). Referring to  
Figure 8, the pilot signal bits  $P_n$  comprises three pilot  
signals having the spread codes  $C_1$ ,  $C_2$  and  $C_3$  which are  
transmitted simultaneously in the directions  $b_1$ ,  $b_2$  and  $b_3$ .  
35 Thereafter, consecutive bits of the data transmission  $d_1$ ,  $d_2$ ,  
 $d_3$ ,  $d_4$  to  $d_m$  are transmitted in different directions and using  
the spreading codes defined for the particular direction  
concerned during the pilot transmission. The mobile station  
MS is able to estimate individually the channel impulse  
40 responses corresponding to each direction and, as with the  
embodiment of Figure 7, consecutive data bits can be

5 transmitted by the base transmitter station to the mobile  
station MS in different directions using any directional  
transmission pattern, provided that when transmitting in the  
Nth direction, the associated Nth code is used. The receiving  
mobile station MS will convolve the information of a given bit  
10 with the channel impulse response of the complete channel  
comprising all the directions of transmission used and their  
associated codes but, due to code orthogonality, only  
information of the relevant transmitted bit is retained.

15 The performance of the various embodiments described leads to  
improvements in correlations between the directions of arrival  
estimated from the uplink communications and the selection of  
transmission directions for the downlink channels.  
Significant advantages include the provision of fast angular  
20 diversity and the efficient whitening of the generated co-  
channel interference, particularly in multi-rate systems (e.g.  
W-CDMA and future wireless networks) in which high bit rate  
users transmit with relatively high power levels and the  
conventional use of adaptive antennas produces highly coloured  
25 spatial interference.

Methods embodying the invention have particular advantages in  
radio environments characterised by large angular spreads  
(e.g. micro and pico cells) and when angular resolution of the  
30 base transceiver station is relatively high. The performance  
of the method improves as the angular spread of radio  
environment and spatial resolution of BTS increase. This is  
because as angular spread increases and the generated beams  
become narrower, the BTS can efficiently exploit the benefits  
35 of operating in the spatial domain. For example, more hopping  
directions become available as these conditions are applied.

Embodiments of the invention can advantageously be used in  
micro and/or pico cells environments. Such radio environments  
40 not only carry large angular spreads but are also  
characterized by small delay spreads due to the small size of

5 those environments. This is greatly beneficial, particularly  
in schemes exploiting orthogonality (e.g., code  
orthogonality). It is also in these environments where high  
bit-rate uses can be expected. The level of co-channel  
interference generated to serve these users is reduced by  
10 employing methods embodying the present invention.

The quality of the channel estimation at the receiving mobile  
station MS is heavily dependent on the amount of energy used  
for transmitting the pilot signal bits. Since pilot  
15 transmission is multiplexed with respect to time-, space-  
and/or code domains, when the same energy as that used in the  
conventional methods (slot-level processing) is distributed  
among the pilots, the effective energy per pilot is smaller.  
This degradation in the pilot signal power is compensated by  
20 the array gain.

The pilot and/or data signal transmissions within a  
communication burst may be multiplexed with respect to time,  
frequency, space or spreading code. Methods illustrated with  
25 respect to pilot signal transmission can be applied equally to  
data signal transmission. The different methods described  
hereinbefore can be used separately or in any combination.

Whilst embodiments of the present invention have been  
30 described in the context of a CDMA system, embodiments of the  
present invention can be used with any other type of access  
system. Embodiments of the present invention can be  
implemented in a mobile station as well as a base station.

CLAIMS:

- 5 1. A method of directional radio communication in a wireless communications network between a first station and a second station, said method comprising the steps of:  
transmitting a plurality of communication bursts from said first station to said second station, each of said bursts  
10 being substantially continuous and comprising a reference signal having a plurality of reference signal components and a data signal having a plurality of data signal components wherein respective signal components of said reference signal are transmitted in substantially different directions, the  
15 data signal components being transmitted in said substantially different directions.
2. A method as in claim 1, wherein a number of said plurality of reference signal components are transmitted in  
20 different directions at different times, successive reference signal components being transmitted in different directions.
3. A method as in claim 1 or 2, wherein said reference signal components are transmitted consecutively.
- 25 4. A method as in claim 1, wherein a number of said plurality of reference signal components are transmitted in different directions at substantially the same time.
- 30 5. A method as in any preceding claim, wherein a number of said plurality of data signal components are transmitted in different directions at substantially the same time.
- 35 6. A method as in any of claims 1-4, wherein a number of said plurality of data signal components are transmitted in substantially different directions, at different times and consecutively.
7. A method as in claim 6 when dependent upon claim 3,



5 wherein the order of directional transmission of said data  
signal components corresponds to that used during transmission  
of said reference signal components.

8. A method as in claim 6, wherein consecutive data signal  
10 components are transmitted in said different directions  
without regard to the order of directional transmission of  
said reference signal components.

9. A method as in any preceding claim, wherein one or more  
15 of said data signal components is transmitted before the last  
reference signal component of the communication burst.

10. A method according to claim 9, wherein said data signal  
components are divided into a plurality of sets, each set  
20 being transmitted after a respective reference signal  
component.

11. A method according to claim 10, wherein each set of data  
signal components is transmitted in the same direction as the  
25 preceding reference signal component.

12. A method according to any preceding claim used in a code  
division multiple access system.

13. A method according to claim 12, wherein a different  
30 spreading code is used for the transmission of respective  
reference signal bits in each direction.

14. A method according to claim 12 or 13, wherein the  
35 reference signal is a pilot signal.

15. A method according to claim 13 or 14, wherein the  
spreading codes used in the transmission of said reference  
signal components in said different directions are also used  
40 in the transmission of data signal components in the  
corresponding directions.

5 16. A transceiver station for directional radio communication  
in a wireless communications network between a first station  
and a second station, said transceiver station comprising:  
means for transmitting a plurality of communication  
bursts from said first station to said second station, each of  
10 said bursts being substantially continuous and comprising a  
reference signal having a plurality of reference signal  
components and a data signal having a plurality of data signal  
components, said means being operable to transmit respective  
signal components of said reference signals in substantially  
15 different directions, the data signal components being  
transmitted in said substantially different locations.

ABSTRACT

A METHOD OF DIRECTIONAL RADIO COMMUNICATION

5 A method of directional radio communication in a wireless  
communications network between a first station and a second  
station. The method comprises the steps of transmitting a  
plurality of communication bursts from said first station to  
said second station, each of said bursts being substantially  
10 continuous and comprising a reference signal having a  
plurality of reference signal components and a data signal  
having a plurality of data signal components wherein  
respective signal components of said reference signal are  
transmitted in substantially different directions, the data  
15 signal components being transmitted in said substantially  
different directions.

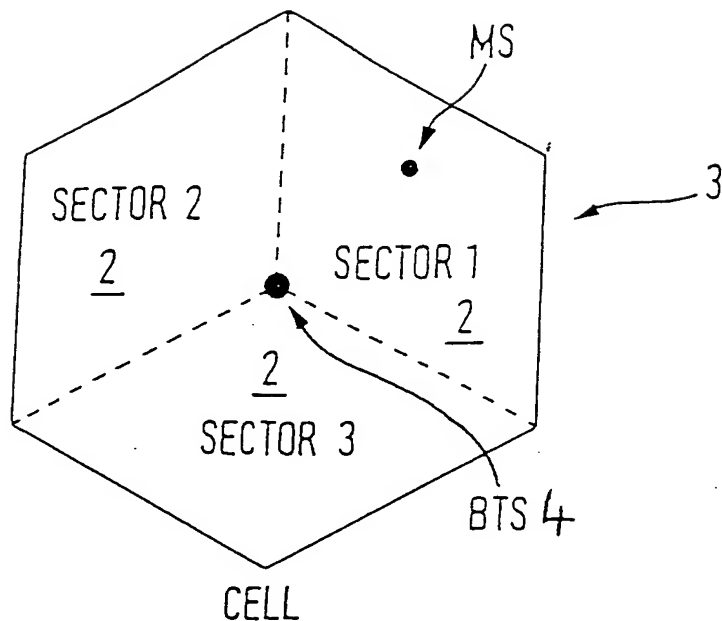


FIG. 1

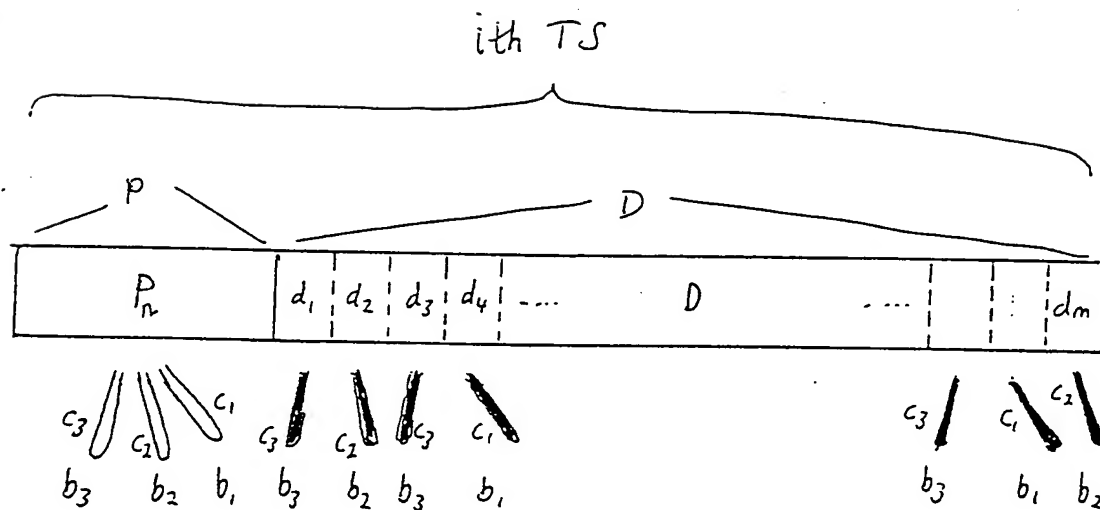


Figure 8

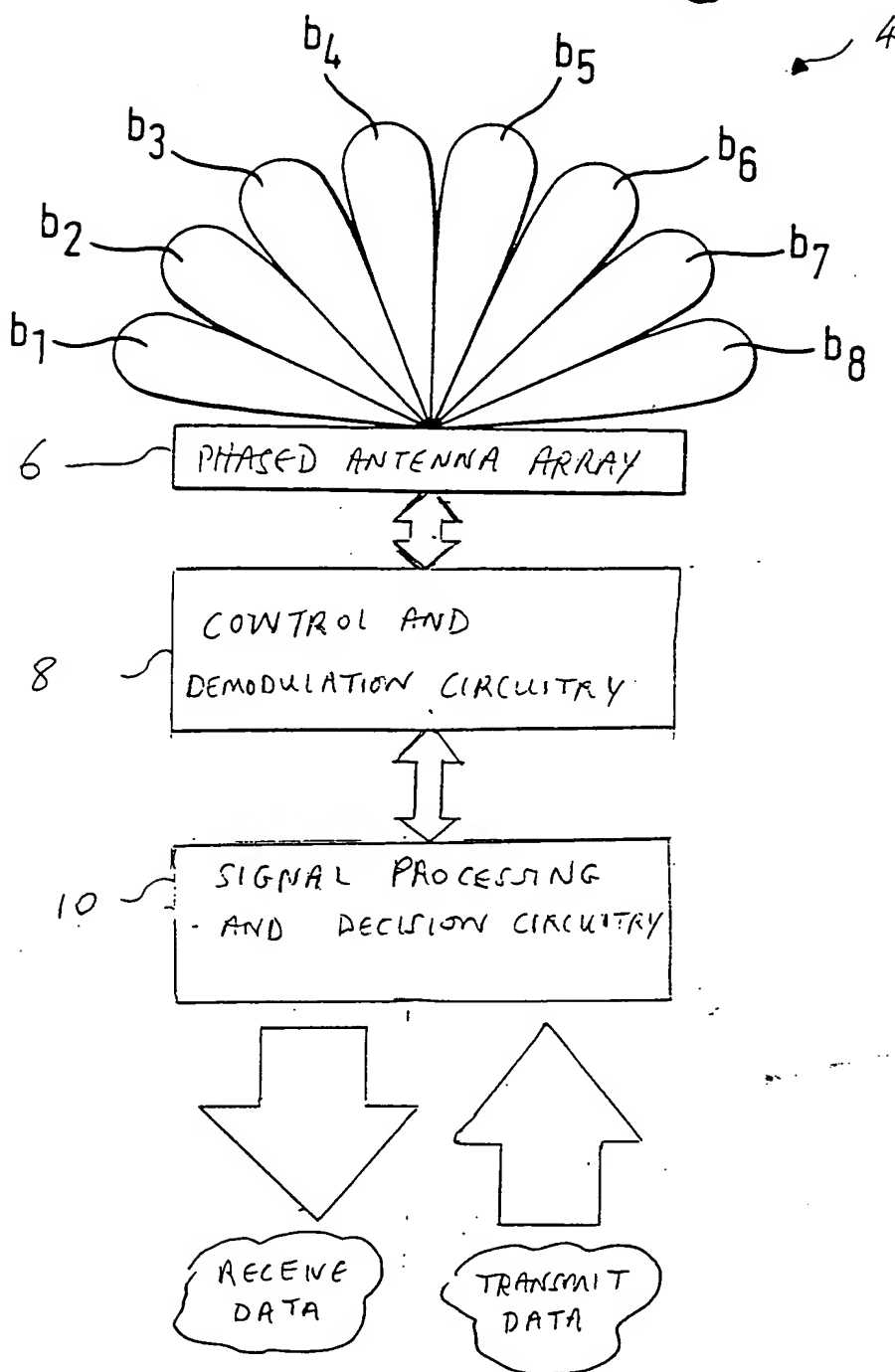


Figure 2

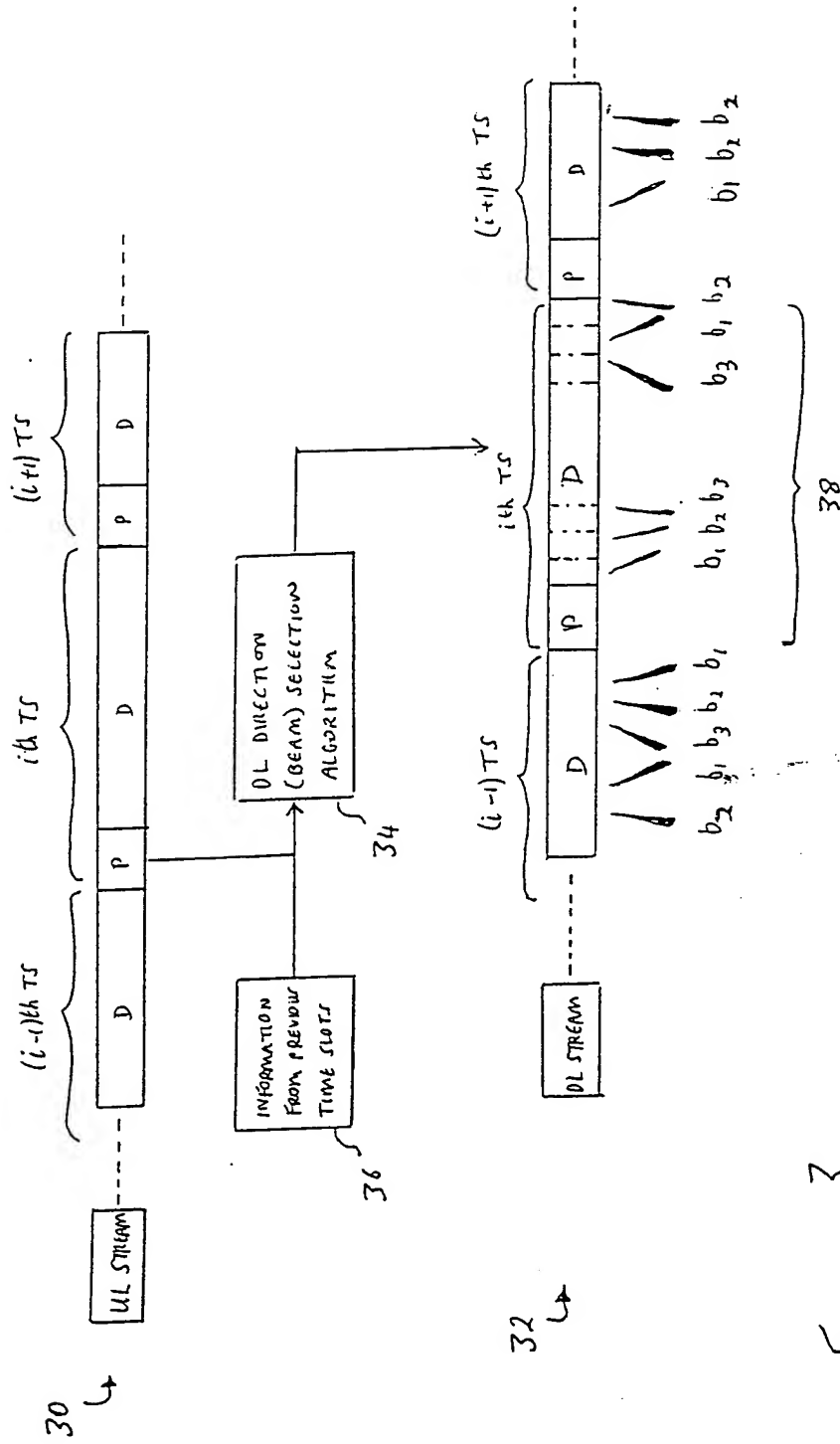


Figure 3

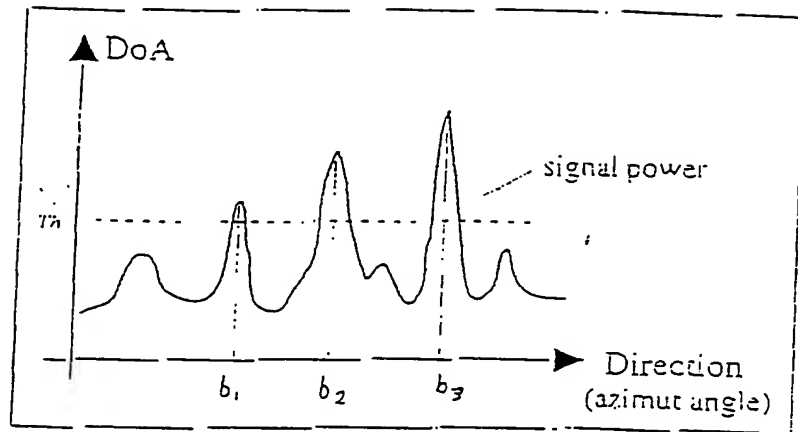


Figure 4

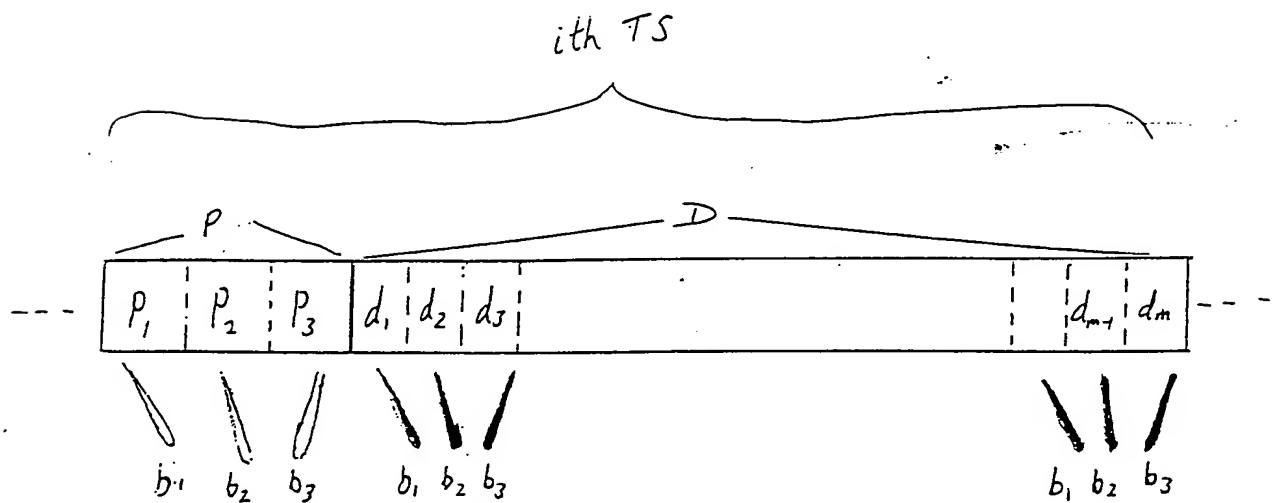
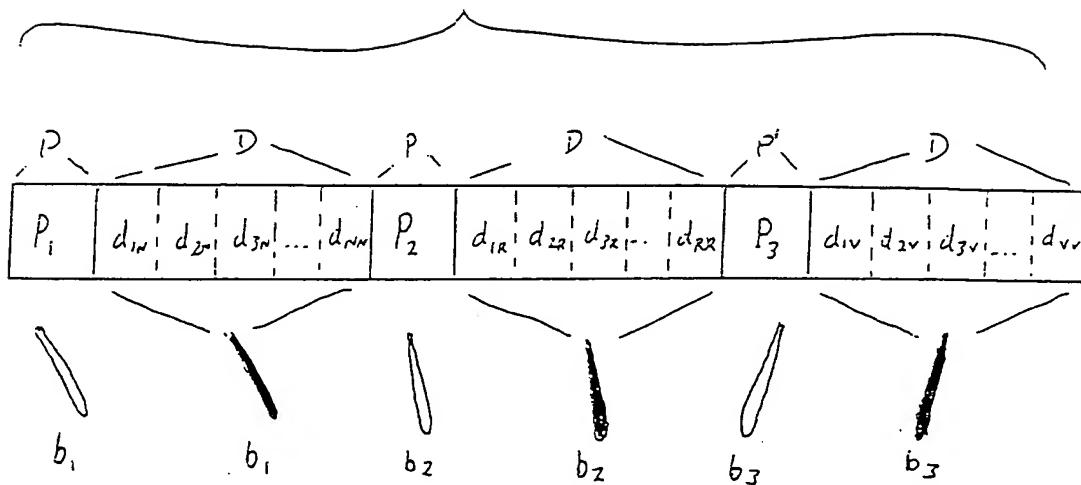
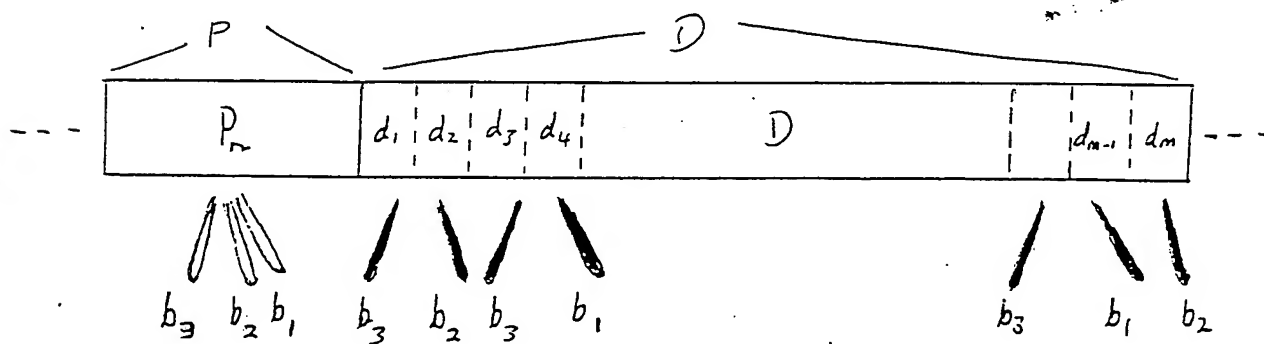


Figure 5

ith TS

Figure 6Figure 7